



Principal Racing Authorities

General

What is a Principal Racing Authority (PRA)?

A PRA is the body, statutory or otherwise, that has the control and general supervision of racing within a State or Territory, and means in the State of New South Wales, Racing NSW; in the State of Victoria, Racing Victoria Limited; in the State of Queensland, Racing Queensland Limited; in the State of South Australia, Thoroughbred Racing SA Limited; in the State of Western Australia, Racing and Wagering Western Australia; in the State of Tasmania, Tasracing; in the Northern Territory, Thoroughbred Racing NT; and in the Australian Capital Territory, the Committee of the Canberra Racing Club Inc.

When should a Transfer of Ownership form be completed?

Any change of ownership of a named racehorse throughout its racing life must be immediately advised on a Transfer of Ownership form. Changes of ownership related to unnamed horses, horses that will not race, and horses that are used for pleasure or other purposes are not required to be reported.

Where do I lodge the form?

Notification of any change in ownership must be lodged with the relevant Principal Racing Authority (PRA) in whose state the horse is being trained or is most likely to be trained as soon as practicable before the horse is nominated for a race or trial. Where a horse is trained in ACT, the form should be lodged with Racing NSW.

Why must I lodge a Transfer of Ownership?

The Rules of Racing make it compulsory for all transfers of ownership to be recorded in respect of registered racehorses throughout their racing life.

If a change in ownership of a horse has not been reported and accepted by the PRA before a horse is nominated for a race or trial, Stewards may elect not to accept the nomination or issue a penalty.

The new owners are responsible for lodging the form.

Who is required to sign the form?

All owners relinquishing their interest as well as all new and remaining owners must sign the form.

What if I cannot obtain the signature of an outgoing owner?

All reasonable attempts must be made to gain the signatures of the relinquishing owners. If the signature of any owner cannot be obtained then the transfer of ownership will not be recognised and the horse not permitted to race except at the discretion of a PRA.

Horses Purchased From Approved Auctioneers

Each PRA has an approved list of auctioneers who have the authority to sign the Transfer of Ownership form on behalf of the Outgoing Owners. In these instances the form must be stamped with the official auctioneer company name and details. Please contact your local PRA for their approved list of auctioneers.

Appointment of Manager (Owner 1)

The manager of a horse is the first named person recorded on the Transfer of Ownership form. If the first named owner is a registered syndicate, the Syndicate Manager is deemed to be the Manager of the horse.

The manager acts for and represents the other joint owners as described in the Australian Rules of Racing. A copy of the rules can be found at www.risa.com.au.

Following registration, the manager can only be changed with the written agreement of the joint owners representing a majority interest in the horse.

Types of Ownership

A horse can be registered in the names of up to 10 owner entities.

An entity can be a:

- Natural person
- Registered Syndicate
- Company
- Firm
- Stud

Please Note: A horse cannot be raced in a Company, Firm or Stud name unless it is a registered syndicate. A horse can only be nominated for a race in the names of a natural person/s and/or registered syndicate/s.

Fitness and Propriety of Applicants

All individuals, including registered syndicate members, who hold a share or interest in the ownership of a racehorse are required to notify the PRA if they:

- a) Have been convicted of or have a pending charge against them for any offence involving violence against a person or dishonest or criminal activity in the past 10 years: and/or
- b) Have ever been convicted under the Australian Rules of Racing or the rules of any other Racing Authority.

Details of the offence must be submitted in writing prior to an application being lodged. This information will be provided to the relevant PRA for a final determination on the suitability of the applicant becoming a registered owner. If an individual neglects or fails to truthfully respond to these questions, this application and any other application concerning the individual may be refused or cancelled or other penalties incurred.

Rules of Racing

As a condition of acceptance of a Transfer of Ownership, all owners noted on the form must familiarise themselves with and agree to be bound by the Rules of Racing, both local and Australian as amended from time to time. The Australian Rules of Racing can be viewed at www.risa.com.au. For Local Rules of Racing please contact the relevant PRA.

Privacy and Personal Information

Your Personal Information

The personal information collected on this form which is required to complete your application includes your name, birth date, address, contact details, email, mobile GST status, and bank account details. This information is required to:

- identify you
- assess your eligibility as an owner
- facilitate the administration of racing
- communicate with you
- enable payment of prize money

Where information is not provided your application may be refused or delayed until the required information is provided.

How your personal information is used

The information collected about you will be shared with the PRA in each state and territory of Australia in order to:

- enable PRAs to fulfill their regulatory responsibilities
- promote and protect the integrity of the sport to ensure compliance with the Rules of Racing
- communicate with you

Personal information may be disclosed to third parties such as government enforcement agencies, appeal bodies, race clubs, the Australian Stud Book, wagering service providers, international racing bodies, industry associations such as Breeders, Trainers and Owners Associations or other authorities as required, but only for one or more of the purposes described above or if RISA believes that the disclosure is reasonably necessary for law enforcement either by or on behalf of an enforcement body or the disclosure is authorised or required by law.

Names of horse owners are publicly available on certain websites and may also appear in race field lists, race books, form guides and similar publications where appropriate.

For further information about privacy, visit each PRA's website or www.risa.com.au for the RISA Privacy Policy.

Access to Information

You can access and request changes to the information held about you by contacting the Registrar of Racehorses or relevant PRA.



Declaring your GST Status

Owners are required to indicate their GST status in relation to their horse racing activity for taxation purposes. If a declaration is not made, a 46.5% withholding tax will be deducted from the total prize money.

When do I supply an ABN?

If an owner's horse racing activities are conducted as an enterprise and the enterprise is registered for goods and services tax the owner can quote the ABN of that enterprise.

If an owner's horse racing activity is conducted as a private recreational pursuit or hobby, an ABN cannot be provided and you must declare yourself as a hobbyist. You can only quote an ABN if the ABN is for an enterprise that is racing horses as part of that enterprise.

Individual members of a registered syndicate must not provide their own ABN. The syndicate must be registered for GST and provide an ABN.

What happens if you quote an ABN for a business that is not involved in horse racing activities?

If you quote an ABN for an enterprise whose activities do not include racing horses, you will be making a false or misleading statement which is an offence under taxation law.

If incorrect ABN information has been provided on a previous application, contact the PRA in your state or territory.

Tax Invoices

Where an owner or entity is GST registered, the following agreement is given:

- The recipient may issue tax invoices in respect of the specified supplies;
- The supplier will not issue tax invoices in respect of those supplies;
- The supplier acknowledges that it is registered when it enters into the agreement and that it will notify the recipient if it ceases to be registered;
- The recipient acknowledges that it is registered when it enters into the agreement and that it will notify the supplier if it ceases to be registered.

Where can I get more information?

For more information about GST in relation to prize money, contact the Stakes Payments Department of the PRA in your state or territory.

For information about whether or not your horse racing activity constitutes an enterprise and should be registered for GST, please seek your own advice by contacting the Australian Taxation Office.

Prize Money

How is Prize Money Paid?

Payment of prize money, and GST where applicable, is administered by the PRA in whose jurisdiction the horse became eligible to receive prize money.

Please note EFT payments can only be made to Australian bank accounts.

Please note bank details must be supplied for every owner every time a Transfer of Ownership is completed regardless of whether bank details have been provided in the past in relation to the same or another horse.

See below for more information about stakes payment options in each state.

NSW, ACT & QLD

When **ALL** owners provide their bank account details on the form, prize money will be paid via EFT directly into each owner's bank account according to their entitlement. If an owner does not supply bank account details, all prize money will be forwarded to the Manager (Owner 1) except where an entity is GST registered for racing purposes. Where an entity indicates on the form that they are GST registered for racing purposes and supply a valid ABN and bank account, they will receive prize money together with the GST component directly into their account.

Please note a \$16.50 processing fee (GST included) will be charged for all cheque payments made for NSW and ACT. QLD only pays via EFT - no payment is made by cheque.

VIC & SA

Individual entities who supply a valid bank account on the form will receive prize money directly into their account via EFT provided that the Manager (Owner 1) has also supplied their bank account details on the form. If no bank account details are provided for any given entity, their prize money payment will be forwarded to the Manager. If the Manager has not supplied bank details, payment will be forwarded to them by cheque.

TAS

All prize money is forwarded to the Manager (Owner 1). Where bank details are provided on the form, payment will be made via EFT. If bank details are not provided, a cheque will be forwarded. Individual entities who have elected to have their percentage of prize money paid directly to them and who supply valid bank account details on the form, will receive prize money directly into their account via EFT.

WA & NT

All prize money is paid to the Manager (Owner 1) via EFT only. Where email addresses are not supplied, an administration fee will be charged (WA only).

Fees and Payment Options

See below for fees and payment options in each state. Payments must accompany the Transfer of Ownership Application. ****GST is not applicable.**

STATE	FEE	ADDITIONAL HORSE FEE*	Cheques payable to:
NSW/ACT	\$110**	\$40**	Racing NSW
VIC	\$110	\$0	Racing Victoria
QLD	\$110**	\$40**	Racing Queensland Limited
SA	\$110	\$0	Thoroughbred Racing SA
TAS	\$110**	\$53**	Racing Services Tasmania
WA	\$110**	\$40**	Racing and Wagering Western Australia
NT	\$110	\$40	Thoroughbred Racing NT

*Additional Horse Fee: This applies to registered syndicates who are not first time owners. Where a registered syndicate is remaining as a part owner in a new transfer of ownership for this horse the additional horse fee is not applicable.

Payment Options

Cheques should be made payable to the PRA. VISA and Mastercard are accepted.

Horse Identification Documents

Each registered horse has been issued with a Document of Description or Thoroughbred Identification Card. These documents should remain with the horse at all times. Please note that these are **not** required for Transfer of Ownership applications.

Contact Details



Racing NSW
Level 7, 51 Druitt Street
Sydney NSW 2000
Phone 02 9551 7500
Fax 02 9551 7587



Racing Victoria Limited
400 Epsom Road
Flemington VIC 3031
Phone 1300 139 412
Fax 03 9258 4326



Racing Queensland Limited
PO Box 63
Sandgate QLD 4017
Phone 07 3869 9777
Fax 07 3269 8929



Thoroughbred Racing SA
GPO Box 2646
Adelaide SA 5001
Phone 08 8179 9824
Fax 08 8350 0082



Racing & Wagering WA
14 Hasler Road
Osborne Park WA 6017
Phone 08 9445 5558
Fax 08 9445 5586



Thoroughbred Racing NT
GPO Box 589
Darwin NT 0801
Phone 08 8944 7500
Fax 08 8944 7533



Racing Services Tasmania
PO Box 1329
Launceston TAS 7250
Phone 03 6336 2450
Fax 03 6336 2966



This form has been prepared by the Registrar of Racehorses in consultation with the Deputy Registrars.



All fields in the relevant parts of the form are required to complete your application. Where information is not provided your application may be refused and or delayed. Please complete all relevant details using BLOCK letters and black or blue pen.

Transfer of a Racehorse Named Suffix

If the Certificate of Transfer is not to be forwarded to the Manager, please supply delivery instructions below:

Recipient

Postal Address

Post Code

Manager (Owner 1) Treated as Manager (Subject to AR57) Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / If other, please specify

Mr Mrs Miss Ms Other

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address

Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB - Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

Do you require prize money to be split between owners where bank accounts are supplied? Applicable NSW, ACT, VIC, QLD, SA & TAS only Yes No

COMPLETE DECLARATION BELOW

DECLARATION - IMPORTANT MUST SIGN

By signing this application I agree and declare that:

- as a condition of the Transfer of Ownership of this horse, I will refrain from taking any step or authorising any party to take any step which will interfere with the important role that RISA, the Principal Racing Authority and the race clubs play in the administration of racing and in the provision of racing materials, including without limitation preventing or restricting either of these entities from using or publishing the name of this horse, and I will refrain from taking any step which will or may adversely affect or otherwise limit their administration, promotion and reporting of thoroughbred racing.
- as a condition of the transfer of ownership of this horse, I will comply with and be bound by the Australian Rules of Racing and the Local Rules, Regulations, By-Laws and Conditions of the Principal Racing Authority in whose State or Territory I reside or in which the horse shall race.
- the names on this form fully disclose the true, complete and accurate ownership of the horse.
- I am over the age of 18 years at the time of signing this application.
- I have read and understood the sections on pages 1 & 2 entitled Fitness and Propriety of Applicants, Privacy and Personal Information, GST Declaration and Appointment of Manager.

Signature Owner 1 (Manager)

Share %

 %

Please note the total combined share percentage of the owners must equal 100%

Date

 / /



Transfer of a Racehorse Named Suffix

Owner 2 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

Owner 3 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

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- I have read and understood the sections on pages 1 & 2 entitled Fitness and Propriety of Applicants, Privacy and Personal Information, GST Declaration and Appointment of Manager (Owner 1).

SIGNATURE OWNER 2

Date / /

Share %

SIGNATURE OWNER 3

Date / /

Share %



Transfer of a Racehorse Named Suffix

Owner 4 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

Owner 5 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

DECLARATION - IMPORTANT MUST SIGN

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- I have read and understood the sections on pages 1 & 2 entitled Fitness and Propriety of Applicants, Privacy and Personal Information, GST Declaration and Appointment of Manager (Owner 1).

SIGNATURE OWNER 4

Date / /

Share %

SIGNATURE OWNER 5

Date / /

Share %



Transfer of a Racehorse Named Suffix

Owner 6 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

Owner 7 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

DECLARATION - IMPORTANT MUST SIGN

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- I have read and understood the sections on pages 1 & 2 entitled Fitness and Propriety of Applicants, Privacy and Personal Information, GST Declaration and Appointment of Manager (Owner 1).

SIGNATURE OWNER 6

Date / /

Share %

SIGNATURE OWNER 7

Date / /

Share %



Transfer of a Racehorse Named Suffix

Owner 8 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

Owner 9 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

DECLARATION - IMPORTANT MUST SIGN

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SIGNATURE OWNER 8

Date / /
Share %

SIGNATURE OWNER 9

Date / /
Share %



Transfer of a Racehorse Named Suffix

Owner 10 Please tick Individual Owner Registered Syndicate Company or Stud

(When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname of Owner / Registered Syndicate Name / Company Name / Stud Name

Given Names of Owner / Full Name of Registered Syndicate Manager / Company Representative / Stud Representative

Tick this box if the Registered Syndicate has owned horses previously and you wish to add this horse to the Syndicate for the first time. If you tick this box please include the Additional Horse Fee in your payment.

Postal Address Post Code

Daytime Phone Mobile Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Bank Account Name

BSB - Account Number Tick box if you have previously provided bank details for this horse only and they have not changed

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SIGNATURE OWNER 10

Date / /
Share % %